



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gerardo Castillo et al. Art Unit : Unknown
Serial No. : 10/762,444 Examiner : Unknown
Filed : January 21, 2004
Title : POLYHYDROXYLATED AROMATIC COMPOUNDS FOR THE
TREATMENT OF AMYLODOSIS AND ALPHA-SYNUCLEIN FIBRIL
DISEASES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith are an Information Disclosure Statement and Forms PTO-1449
6) pages for filing in connection with the above-identified application. Because this
Information Disclosure Statement is filed prior to receipt of a first office action on the merits
in the above-referenced application, no fee is due. However, should it be determined that a
fee for filing these papers is required, the Commissioner is authorized to charge Deposit
Account No. 06-1050, as stated below:

☒ The Commissioner is hereby authorized to charge any fees that may be due in connection with
this paper or with this application during its entire pendency to Deposit Account No. 06-1050.
A duplicate of this sheet is enclosed.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 C.F.R. 1.97-1.98

Dear Sir:

Because this Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application a fee is not required. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

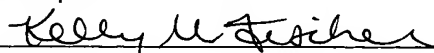
In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (6 pages) are provided herewith in connection with the above-captioned application. In accordance with 37 C.F.R. §1.98(d), copies of the references listed on the Form PTO-1449 are not provided herewith as they have been previously provided in connection with U.S. Serial Nos. 09/748,748, which are relied upon for an earlier filing date in accordance with 35 U.S.C. §120.

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The documents cited on the Forms PTO-1449 are in the English language, with the exception of Items X, AC, AF, and CD. Items X and AC (Japanese patent Nos. JP 10245342 and JP 1-151514 respectively) are in in the Japanese language and were supplied with Derwent English Abstracts (Items BB and BA respectively). Item AF (International Patent Application Publication No. WO 98/09653) is in the French language and was supplied with an English language equivalent US patent 6,297,281 (Item R). Item CD (Article by Lewy (1912)) is in the German language and was supplied with a Certified English language translation (Item AV). Hence, in accordance with the requirements of 37 C.F.R. 1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following pending U.S applications that have one or more common inventors and/or are commonly owned:

| U.S.S.N.(App. no.) | Filing Date | Docket No. |
|---------------------------|--------------------|---------------------------------|
| 09/748,748 | 12/26/00 | 17170-002001 (HEWM; 25835-4001) |
| 10/452,851 | 05/30/03 | 17170-003001 (HEWM; 25835-4002) |
| 10/684,178 | 10/10/03 | 17170-004001 (HEWM; 25835-4003) |
| 10/384,172 | 03/05/03 | |
| 09/036,492 | 03/06/98 | |
| 09/079,829 | 03/15/98 | |
| 09//497,628 | 02/03/00 | |
| 09/296,481 | 10/02/98 | |
| 09/525,787 | 03/15/00 | |

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant : Gerardo Castillo et al.
Serial No. : 10/762,444
Filed : January 21, 2004
Page : 3 of 3

Attorney's Docket No.:17170-002002 (25835-4001B)

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Date: _____

4/6/04



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Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
17170-002002Application No.
10/762,444**List of Patents and Publications for Applicant's
Information Disclosure Statement**Applicant
Gerardo Castillo et al.Filing Date
January 21, 2004Group Art Unit
Unknown

(37 CFR §1.98(b))

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|-----------------------|-------|----------|----------------------------|
| | A | 2002/0111309 | 08/15/02 | Castillo et al. | 514 | 12 | 08/22/01 |
| | B | 2002/0168753 | 11/14/02 | Castillo et al. | 435 | 226 | 11/30/01 |
| | C | 2002/0197692 | 12/26/02 | Castillo et al. | 435 | 184 | 11/02/01 |
| | D | 2003/0013648 | 01/16/03 | Castillo et al. | 514 | 12 | 09/24/01 |
| | E | 2003/0017998 | 01/23/03 | Snow et al. | 514 | 27 | 02/15/02 |
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Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | W | 00/12102 | 03/09/00 | PCT | | | | |
| | X | 10245342 | 09/14/98 | JP | | | | X+ |

Examiner Signature

Date Considered

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|---|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b)) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 17170-002002 | Application No. 10/762,444 |
| | Applicant Gerardo Castillo et al. | | |
| | Filing Date January 21, 2004 | Group Art Unit Unknown | |

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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|---------------------|--------------|--------------------|---------------------|-----------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | Y | 01/49281 | 07/12/01 | PCT | | | | |
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| | AD | 97/46664 | 12/11/97 | PCT | | | | |
| | AE | 98/08381 | 03/05/98 | PCT | | | | |
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| Substitute Form PTO-1449 (Modified) List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b)) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 17170-002002 | Application No. 10/762,444 |
| | Applicant Gerardo Castillo et al. | | |
| | Filing Date January 21, 2004 | Group Art Unit Unknown | |

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